MAR 1 8 2005 WAR 1 8 2005 WAR 1 8 2005 WAR 1 8 2005 WAR ADENDER TRANSMITTAL FORM		U.S. For any required to respond to a collection Number Filling Date First Named Inventor Art Unit Examiner Name	Patent and Tri lection of info 10/801/789 03/16/04 Andy T. Yu 2824 Unassigne	
	20	Attorney Docket Number	49667.223	71
Total Number of Pages in This Submission		OSURES (Check all		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/		Drawing(s) icensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD ks	Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): PTO/SB08A; PTO/SB/08B;IDS Pursuant to 37 CFR Sec. 1.56; Coples of Non-Literature: A Dual Gate, etc; Cert. of Mail; Change of Correspondence Address
Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	TUDE O	F APPLICANT, ATTO	DNEV O	NP ACENT

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44,393

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Signature
Printed name

Date

Carlton Fields, P.

Li K. Wang

3/15/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Application of:

Andy T. Yu, et al.

Serial No. 10/801,789

Filed: 3/16/04

Examiner: Unassigned

Group: 2824

Title: Non-Volatile Electrically Alterable Memory Cell for Storing

Multiple Data and An Array Thereof

INFORMATION DISCLOSURE STATEMENT

March 15, 2005

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith, on Forms PTO/SB/08A and PTO/SB/08B, are listings of documents known to applicant and/or his attorneys in compliance with the requirements of 37 CFR §1.56.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

Respectfully submitted,

CARLTON FIELDS, P. A.

Li K. Wang

Registration No. 44,393

CARLTON FIELDS, P. A. 1201 West Peachtree Street Suite 3000 Atlanta, GA 30309



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Substitute for form 1449/PTO

MAR 1 8 2005

Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/801,789	_		
Filing Date	3/16/04			
First Named Inventor	Andy Yu, et al.	_		
Art Unit	2824			
Examiner Name	Unassigned			
Attorney Docket Number	49667 22371	7		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,414,693	5/9/1995	Yueh Y. Ma, et al.	
		^{US-} 6,462,375	10/8/2002	Ching-Yuan Wu	
		US- 5,949,711	09/07/99	Reza Kazerounian	
		US- 6,803,276 B2	10/12/04	KIM, ET AL.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
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MAR 1 8 2005

PTO/SB/08B (08-03)

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required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/F10 Complete if Known Application Number 11/801,789 INFORMATION DISCLOSURE **Filing Date** 03/16/04 STATEMENT BY APPLICANT **First Named Inventor** Andy Yu, et al Art Unit

(Use as many sheets as necessary)

Sheet

Examiner Name Unassigned Attorney Docket Number 49667.22371 of

2824

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
		A Dual Gate Flash EEPROM Cell With Two-Bits Storage Capacity; M. Lorenzini, et al.; 1996 IEEE; 1996 Int'l. NonVolatile Memory Technology Conference		
		A Dual Gate Flash EEPROM Cell With Two-Bits Storage Capacity; M. Lorenzini,et al.; 1997 IEEE; Transactions on Components, Packaging and Manufacturing and Manufacturing Technology-Part A, Vol. 20, No. 2 June 1997		
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Examiner	Date	
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